



**STRATA**  
TRUST COMPANY

**ALL CLEAR.**

When alternative IRA custody is this easy,  
the possibilities are endless.



# THE CLEAR CHOICE FOR AN ALTERNATIVE IRA CUSTODIAN

For nearly a decade, STRATA Trust Company has helped thousands of investors and institutional clients with the custody of a wide range of alternative investments in self-directed retirement accounts. We’ve built our reputation by delivering streamlined and straightforward custody, serviced by a team that is always available to help and well-versed in the related IRS rules and regulations. Formerly known as Self Directed IRA Services, Inc., STRATA has strategically realigned to support a broad range of investment professional partners in growing their asset base with self-directed IRAs.

## WHO WE WORK WITH

STRATA's custody and administration services are offered to individual investors and the professionals that serve them:

- Financial advisors
- Broker dealers
- Investment bankers
- Investment sponsors and platforms
- Family offices
- Attorneys and tax professionals
- Institutions

As a regulated custodian, STRATA is dedicated to the complexity of holding alternative IRA investments in tax advantaged retirement accounts, including private equity and debt, real estate, precious metals, trust deeds, mortgage notes, secured promissory notes, closely held stocks, REITs, crowdfunding investments, structured settlements and more. This can be a powerful tool to expand your asset generation or capital raising efforts—backed by the ease and support of a custody expert.

# A TRUSTED NAME IN SELF-DIRECTED ALTERNATIVE INVESTMENT IRAS

With offices in Austin and Waco, Texas, STRATA operates as a Texas-chartered trust company with direct oversight by the Texas Department of Banking. Backed by a tradition of independence, STRATA is a company with an exceptional growth story. Led by a seasoned team with over 350 years of collective experience and over \$1.8 billion in assets under custody, our customers experience a clear difference in our approach to IRA custody.

2008

year founded

100%

organic growth

350+

years of collective experience

7K+

investments and platforms

33K+

empowered investors

200K+

transactions processed

\$1.8B+

in assets under custody

40+

employees

With over 7,000 assets under custody, STRATA has unparalleled knowledge and expertise in the custody of alternative assets. This, together with our seamless technology solutions and delivery of exceptional service, are the reasons why STRATA is the preferred custodian for some of the fastest-growing private investments in the financial marketplace.

# A BROAD RANGE OF INVESTMENT OPTIONS

STRATA's flexible approach to transactions allows a wide range of alternative asset classes to be held with a single custodian. With our in-depth knowledge and expertise, we'll ensure transactions are properly processed and recorded, with asset valuations appropriately reflected on account holder statements. We can guide investors through the complexities that alternative investments can present in tax-advantaged accounts.

With STRATA, your clients and investors have the freedom to invest in assets that include:



Directly-owned real estate—raw land, residential or commercial



Public or private LPs or LLCs



Private equity or debt investments



REITs



Trust deeds or mortgage notes



Precious metals



Promissory notes or corporate debt



Brokerage accounts for publicly-traded stocks, mutual funds or ETFs



Closely-held stocks



Other alternative investments




Equity or debt crowdfunding


In addition, these assets can be held in IRAs for Individuals or Small Businesses:


- Traditional IRA
- ROTH IRA
- SEP IRA
- SIMPLE IRA





# A TRUE ALLY WITH A VISION FOR YOUR FUTURE

 **WE UNDERSTAND YOUR BUSINESS**  
We start by taking the time to understand your business model, alternative investment and workflow to ensure that the account opening, funding and investment processes are seamless and hassle-free.

 **TRUE TEAM PLAYERS**  
We use our in-depth alternative asset and custody knowledge to shepherd your journey from end-to-end and work with your needs while safeguarding your client from potential pitfalls.

 **SEAMLESS PROCESS**  
We understand the sense of urgency to wrap up a transaction for your client. That's why we are committed to opening accounts online within 24 hours and our client service team is always available to deliver a fast and smooth experience.

 **DIGITAL PLATFORMS**  
We provide innovative, versatile solutions and technology for institutions and professionals. We simplify and streamline the alternative asset custody experience with an easy-to-read dashboard, online forms and communication alerts for you and your clients.

 **CONSOLIDATED ACCOUNT DASHBOARDS**  
We offer customizable views to show a consolidated overview of all of your clients' accounts, making it quicker and easier in your statement preparation and reporting processes.

Financial and investment professionals all see the clear difference in STRATA's streamlined approach to IRA custody.







“STRATA Trust significantly eases investment on CrowdOut’s private debt platform and has been a great partner as we have grown our investor base. The STRATA team offers best-in-class customer service to both us and to investors that are exploring a tax-efficient way to invest in the diverse range of alternative investments.”

—CrowdOut Capital, Investment Sponsor

## INSTITUTIONAL SERVICES AVAILABLE

The key to STRATA’s growth and success is the ability to scale to the needs of advisors and investments of every size. Our commitment to delivering responsive, flexible and innovative solutions with a seamless end-to-end experience is unmatched.

Features of STRATA’s Institutional services include:

- Online new account opening that includes e-consent
- API solutions available
- Pre-populated onboarding forms available
- Custom data reports, documents and files
- Secure delivery through a STRATA-hosted or your own SFTP site
- Account, demographic and transactional data exports
- Business process workflow with optional email notifications
- Co-branded forms available
- ACH and wire solutions
- Priority processing
- Preferred pricing
- A dedicated relationship manager



# HALLMARKS OF OUR INDUSTRY

STRATA is committed to continually investing resources towards the success of our employees and the industry in which we serve. We fully support our employees' education and professional development through conferences, training and other learning opportunities. As a regulated financial services company, STRATA employees complete training each year that covers Information Security, Bank Secrecy Act/Anti Money Laundering, Customer Identification Program and Disaster Recovery.

STRATA has been a long-standing member of Retirement Industry Trust Association (RITA), an industry trade association dedicated to excellence and best practices in the administration of self-directed retirement accounts. In addition, STRATA's management team is actively engaged in the industry by serving on the board and through committee volunteer initiatives aimed at improving our industry.

As evidence of our positive track record in the marketplace, STRATA proudly maintains an A+ rating by the Better Business Bureau.



FROM HERE YOU CAN SEE FOR MILES.

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[StrataTrust.com](http://StrataTrust.com)

STRATA Trust Company ("STRATA") performs the duties of a directed (passive) custodian, and as such does not provide due diligence to third parties regarding prospective investments, platforms, sponsors, dealers or service providers. As a custodian, STRATA does not sponsor, endorse or sell any investment and is not affiliated with any investment sponsor, issuer or dealer. STRATA does not provide investment, legal or tax advice. Individuals should consult with their investment, legal or tax professionals for such services.

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